

Cancer Center

SUBJECT INFORMATION AND CONSENT FORM FOR BIOSPECIMEN LABORATORY

Research on human specimens, such as tissues, blood, bone marrow, urine, saliva, and fluid from the abdomen or lungs can help to find out more about what causes cancer, how to prevent it and how to treat it and other related diseases. Such specimens may be donated for research on cancer genetics and how diseases are passed on in families. Research done with these specimens is not likely to help you directly but <u>may help people with cancer or other related diseases in the future</u>.

In this document, "you" and "your" refer to the person who may participate in biospecimen banking for the Biospecimen Laboratory. "Research" refers to tests and/or studies conducted for non-commercial and/or commercial purposes.

You are invited to participate in Loma Linda University Cancer Center (LLUCC) Biospecimen Laboratory program, because you have been diagnosed with cancer, are being tested for a diagnosis, or have a related disease. As part of your routine medical care, you have had or will have a procedure to remove some or all of the tumor, or to take some of your blood, bone marrow, urine, saliva, or fluid from your abdomen and/or lungs. Each of these items is called a "specimen."

Sometimes specimens are stored in case they are needed later for the patient's care. The rest of the specimen that is not needed is usually thrown away. If you agree to participate in this program, the part of the specimen that would usually be thrown away will instead be stored in the Biospecimen Laboratory and used for research. Also, once specimens stored for your care is no longer needed, it will be given to the Biospecimen Laboratory and used for research.

You may be asked to donate a small amount of blood, bone marrow, urine, or saliva. Such blood samples (about 2 tablespoons) will be drawn by trained personnel at Loma Linda University Medical Center (LLUMC) at the same time you are having a routine blood draw to minimize possible discomfort or pain.

Biospecimen Laboratory personnel may re-contact you and ask you for a second donation of blood (1 tablespoon), urine, or saliva during or after your treatments. Again, such blood collections will take place at the same time it is drawn for your regular medical care. Blood donations will not be requested if your doctor thinks it will cause you any additional risk, discomfort, or pain.

Health information resulting from your care here at Loma Linda University Health (LLUH) entities may be collected from your medical records and this data will be saved in the Biospecimen Laboratory's password protected database.

In the event the laboratory moves, the LLUCC scientific committee will identify appropriate laboratory space for ongoing data management or handling of all banked specimens. In the event the laboratory closes, authorized personnel will be responsible for destroying all specimen samples and data.

The results of the research done using your specimens and data could be used for research purposes. The results will not be given to you or your regular doctor and will not be put in your health records.

Many different kinds of studies use human specimens. Researchers at Loma Linda University Health entities or researchers at outside entities may request your specimens and data for research. Some researchers may develop new tests to find diseases. Others may develop new ways to treat or even cure different cancers. In the future, some of the research may help to develop new products, such as tests and drugs. You will not be paid for donating your specimens and data. If any new products, tests or discoveries, resulting from research using your specimen turn out to be valuable, that discovery will belong to Loma Linda University Health, or an outside entity, you will not share in any money.

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LOMA LINDA UNIVERSITY CANCER CENTER SUBJECT INFORMATION AND CONSENT FORM FOR SPECIMEN LABORATORY

There are no costs to you for donating your specimens. LLUCC may receive payment from other outside entities requesting your specimens and data in order to cover the costs of collecting and storing the specimens.

Risks

Participating in this biospecimen program exposes you to minimal risk of breach of privacy. The greatest risk to you is the release of information from your health records. For example, insurance companies may deny a patient insurance or employers may not hire someone with a certain illness (such as AIDS or cancer). To minimize this risk, LLUCC will protect your health information so that your name, address, and phone number will be kept private. No information identifying you will be released to researchers. Your privacy rights will be protected as described in the attached Authorization for Use of Protected Health Information.

There is a risk that someone could trace the information in a central database back to you. Even without your name or other identifiers, genetic information contained in your specimen sample is unique to you. The LLUCC staff believes the chance that someone will identify you is very small, but the risk may change in the future as people come up with new ways of tracing information. A Federal law, called the Genetic Information Nondiscrimination Act (GINA), will prohibit discrimination from health insurance companies, group health plans, and most employers to discriminate against you based on your genetic information. To learn more about the GINA Law, ask staff or visit the following website: http://www.genome.gov/Pages/PolicyEthics/GeneticDiscrimination/GINAInfoDoc.pdf and http://www.leginfo.ca.gov/pub/11-12/bill/sen/sb_0551-0600/sb_559_bill_20110906_chaptered.pdf

Participants Rights

Participation in this program is voluntary. Your decision whether or not to participate or withdraw at any time will not affect your ongoing medical care/relationship to your doctor(s) and will not involve any penalty or loss of benefits to which you would normally receive.

If you change your mind about having your specimen(s) and data used in any future research, contact the Biospecimen Laboratory staff at 909-651-5082, and any specimen(s) and data that have not already been used in research can be disposed of. Any specimen(s) and data that have already been sent to a researcher within Loma Linda University Health, entities or outside entities cannot be returned and may continue to be used.

How will information about me be kept confidential?

Certificate of Confidentiality from the National Institutes of Health:

To help us protect your privacy, we have obtained a Certificate of Confidentiality from the National Institutes of Health. The researchers can use this Certificate to legally refuse to disclose information that may identify you in any federal, state, local civil, criminal, administrative, legislative, or other proceedings, for example, if there is a court subpoena. The researchers will use the Certificate to resist any demands for information that would identify you, except as explained below. The Certificate cannot be used to resist a demand for information from personnel of the United States Government that is used for auditing or evaluation of Federally funded projects or for information that must be disclosed in order to meet the requirements of the federal Food and Drug Administration (FDA). You should understand that a Certificate of Confidentiality does not prevent you or a member of your family from voluntarily releasing information about yourself or your involvement in this research. If an insurer, employer, or other person obtains your written consent to receive research information, then the researchers may not use the Certificate to withhold that information. The Certificate of Confidentiality will not protect against the required reporting by hospital staff of information of suspected child abuse, reportable communicable diseases, and/or possible threat of harm to self or others.

Impartial Third Party Contact

If you wish to contact an impartial third party not associated with the Biospecimen Laboratory regarding any questions about your rights as a research subject or to report any complaint you may have about this program, you may contact the Office of Patient Relations, Loma Linda University Medical Center, 11234 Anderson Street, Loma Linda, CA 92354; (909) 558-4647 or email: patientrelations@llu.edu for information and assistance.

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INFORMED CONSENT

By signing this consent form, I acknowledge that I have read this consent form or it was read to me and it includes an explanation of the nature of biospecimen banking, side effects and benefits that may occur from participation. Mark E. Reeves, M.D., Ph.D. is the leading investigator at Loma Linda University Cancer Center. I have been given the opportunity to ask questions concerning this program and they have been answered to my satisfaction. If I have additional questions, I may speak with the doctor and/or staff who explained the Biospecimen Laboratory to me by contacting the Loma Linda University Cancer Center during routine office hours by calling (909) 651-5082. I agree to participate in any additional studies where I selected "yes". I hereby give voluntary consent to participate in the Biospecimen Laboratory. Signing this document does not waive my rights nor does it release the investigators or institution from their responsibilities. I agree that my protected health information may be used for the research purposes as described in this consent and in the attached "Authorization for Use of Protected Health Information" form. As part of my participation in this program, I authorize the release of my specimens and data to the Institutional Review Board (IRB) and the Office of Research Affairs of Loma Linda University Health, Loma Linda University Cancer Center, Loma Linda University Health entities and any other outside entities and their authorized agents in accordance with this program requirements. I understand I will be given a copy of all 3 pages of this consent document.

□ YES	□NO	Additional spec be taken for fut	imen(s) (tissue/blood/bone marrow/uriure research.	ne/saliva/fluid	from abdomen or lungs) may
□ YES	□NO		LLUCC may contact me in the future t me to participate in more research stud	•	l gather more information
□ YES	□ NO	* *	specimens kept by the hospital patholo future research.	gy that may no	longer be needed for my care
\square YES	□ NO	Any specimens	can be used for future genetic research	ı .	
□ (Chec	ck if applic		protocol has been explained to my chile permission for my child to participate i		•
Subject (Print Name)	Subject Signature (if 12 years old or over)	Date	/ Time AM / PM
					/
	buardian (Proct is under 1	rint Name) 18 years old)	Parent/Guardian Signature (if subject is under 18 years old)	Date	/ Time AM / PM
_	of Legally		Printed Name of Legally Authorized Representative	Date	/ Time AM / PM
satisfied a terms all	and that I hat I hat I hat of the informally be expected.	ave discussed the mation contained	med consent for the medical research presearch project with the participant are in this informed consent form, including the certify that I encouraged the partic	nd explained to ng any risks and	him or her in non-technical dadverse reactions that may
Doctor or	Designee	(Print Name)	Doctor or Designee Signatu	re	Phone
Date			Time AM / PM		

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INSTITUTIONAL REVIEW BOARD

IRB #58238

Authorization for Use of Protected Health Information (PHI)

Per 45 CFR §164.508(b)

RESEARCH PROTECTION PROGRAMS

Loma Linda University Health - Office of the Vice President of Research Affairs

• 24887Taylor Street, Suite 201 • Loma Linda, CA 92350 (909) 558-4531 (voice) / (909) 558-0131 (fax) / email: irb@llu.edu

TITLE OF STUDY: Loma Linda University Cancer Center Biospecimen

Laboratory – Specimens Collection Protocol

PRINCIPAL INVESTIGATOR: Mark E. Reeves, M.D., Ph.D.

Others who will use, collect, or Authorized research personnel at Loma Linda

share PHI: University Cancer Center (LLUCC)

The program named above may be performed only by using personal information relating to your health. National and international data protection regulations give you the right to control the use of your medical information. Therefore, by signing this form, you specifically authorize your medical information to be used or shared as described below.

The following personal information, considered "Protected Health Information" (PHI) is needed to conduct the Biospecimen Laboratory and may include, but is not limited to: your name, address, telephone number, date of birth, government-issued identification number, age, gender, race, medical history, diagnosis, stage of disease, treatments and its outcome.

The LLUCC doctor and personnel will use or share your PHI that is created and collected in the course of this program with the Institutional Review Board (IRB) and the Office of Research Affairs of Loma Linda University Health, Loma Linda University Cancer Center, Loma Linda University Health entities and any other outside research entities and their authorized agents.

The main reason for sharing this information is to be able to conduct the Biospecimen Laboratory as described earlier in the consent form. In addition, it is shared to ensure that the Biospecimen Laboratory meets legal, institutional, and accreditation standards. Information may also be shared to report adverse events or situations that may help prevent placing other individuals at risk.

All reasonable efforts will be used to protect the confidentiality of your PHI, which may be shared with others to support the Biospecimen Laboratory, to carry out their responsibilities, to conduct public health reporting and to comply with the law as applicable. Those who receive the PHI may share with others if they are required by law, and they may share it with others who may not need to follow the federal privacy rule.

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Subject to any legal limitations, you have the right to request access to any of your PHI created during this program. You may request this information from the Principal Investigator named above.

This authorization does <u>not</u> expire, and will continue indefinitely unless you notify the researchers that you wish to revoke it.

You may change your mind about this authorization at any time. If this happens, you must withdraw your permission in writing. Beginning on the date you withdraw your permission, no new personal health information will be used for this program. However, LLUCC personnel may continue to use the health information that was provided before you withdrew your permission. If you sign this form and enter the Biospecimen Laboratory, but later change your mind and withdraw your permission, your specimen will be removed from the Biospecimen Laboratory at that time. Any specimen that has already been sent to a researcher cannot be returned. To withdraw your permission, please contact the Principal Investigator or personnel at (909) 651-5082.

You may refuse to sign this authorization. Refusing to sign will not affect the present or future care you receive at this institution and will not cause any penalty or loss of benefits to which you are entitled. However, if you do not sign this authorization form, you will not be able to participate in the Biospecimen Laboratory for which you are being considered. You will receive a copy of this signed and dated authorization prior to your participation in this program.

I agree that my personal health information may be used for the Biospecimen Laboratory purposes described in this form.

Signature of Subject or Subject's Legal Representative	Date	/ Time AM/PM	
Printed Name of Legal Representative (if any)	Representative's Authority to Act for Subject		
Signature of Investigator / Designee Obtaining Authorization	Date	/ Time AM/PM	

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